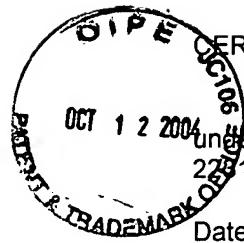


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**CERTIFICATE OF MAILING**

I certify that this document is being deposited with the U.S. Postal Service as First Class Mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

Date: 10-8-2004

  
Signature of Person Mailing Correspondence

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**PATENT**

Applicant(s):	David S. Bonalle	Docket No.:	70655.0700
Serial No.:	10/711,773	Group Art Unit:	TBA
Filed:	October 4, 2004	Examiner:	TBA
Title:	SYSTEMS AND METHODS FOR PROVIDING A RF TRANSACTION DEVICE FOR USE IN A PRIVATE LABEL TRANSACTION	Confirmation No.:	5772

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner For Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Commissioner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R., §§1.97 and 1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents listed on the attached Form PTO/SB/08A. Applicant respectfully submits that all pending claims are patentable over the foregoing references, alone or in combination. The Examiner is requested to initial the enclosed Form PTO/SB/08A and return a copy thereof to the undersigned.

The items listed on Form PTO/SB/08A may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute any of the listed documents as prior art during examination. Further, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Furthermore, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

1. [ ] For each of the following items listed on the enclosed copy of Form PTO/SB/08A that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:

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2. [ X ] Any copy of the items listed on the enclosed copy of Form PTO/SB/08A that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in the prior [X] Parent Application, [ ] Continuation, [ ] Divisional or [ X ] Continuation-In-Part application under 37 C.F.R. §1.97, U.S. Serial Nos. 10/192,488 and 10/435,420, filed July 9, 2002 and May 9, 2003, respectively.

3. [ X ] No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:

[ X ] 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.

[ ] 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application.

[ ] 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits.

4. [ ] No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 3 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 8 below.

5. [ ] A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 3 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):

[ ] A check in the amount of \$180.00 is enclosed in payment of the fee.

[ ] Charge the fee to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

6. [ ] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:

- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 8 below; and
- b. the attached petition requesting consideration of this Information Disclosure Statement; and
- c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 9 below.

7. [ ] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:

- a. [ ] 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue;
- b. [ ] 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue.
- c. The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 9 below.

8. [ ] I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

[ ] I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent

office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

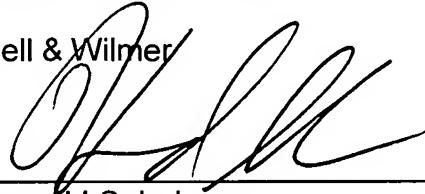
9.  A check in the amount of \$180.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(p).

Charge the fee due under 37 C.F.R. §1.17(i)(1) to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 19-2814. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

Snell & Wilmer



Howard I Sobelman  
Registration No. 39,038

Dated: 10/8/04

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OCT 12 2004

**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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of

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**Attorney Docket Number**

***Complete if Known***

Application Number	10/711,773
Filing Date	October 4, 2004
First Named Inventor	David S. Bonalle
Art Unit	TBA
Examiner Name	TBA

Attorney Docket Number 70655.0700

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		CH	CH689070		0/31/1998	Peter Bresch		
		EP	0 358 525	A2	03/14/1990	W&T Avery Ltd.		
		EP	0 424 726	A1	10/10/1990	Angewandte Digital Elec		
		PCT	WO 99/03057	A1	01/21/1999	Robert E. Dorf		
		EP	0 933 717	A2	08/04/1999	Citicorp Development Center		
		EP	0 956 818	A1	11/17/1999	Citicorp Development Center		
		EP	0 959 440	A2	11/24/1999	Citicorp Development Center		
		JP	2000-1109	A	01/14/2000	Citicorp Development Center		
		JP	2000-015288	A	01/18/2000	Hitachi Plant Eng & Constr		
		JP	2000-40181	A	02/08/2000	Unknown		

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and note considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/711,773
				Filing Date	October 4, 2004
				First Named Inventor	David S. Bonalle
				Art Unit	TBA
				Examiner Name	TBA
Sheet	2	of	9	Attorney Docket Number	70655.0700

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		PCT	WO 00/10144	A1	02/24/2000	3M Innovative Properties Co		
		JP	2000-67312	A	03/03/2000	IBM		
		EP	0 984 404	A2	03/08/2000	IBM		
		PCT	WO 00/38088	A1	06/29/2000	John Klayh		
		EP	1 016 947	A2	07/05/2000	Texas Instruments Inc.		
		JP	2000-207641	A	07/28/2000	NCR International Inc.		
		EP	1 039 403	A2	09/27/2000	Citicorp Development Center		
		JP	2001-5931	A	01/12/2001	Unknown		
		PCT	WO 01/04825	A1	01/18/2001	Intermec IP Corp.		
		PCT	WO 01/15098	A1	03/01/2001	Gamut Interactive		

Examiner Signature \_\_\_\_\_ Date Considered \_\_\_\_\_

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/711,773
				Filing Date	October 4, 2004
				First Named Inventor	David S. Bonalle
				Art Unit	TBA
				Examiner Name	TBA
Sheet	3	of	9	Attorney Docket Number	70655.0700

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		EP	1 104 909	A2	06/06/2001	Smartel S.P.A.		
		PCT	WO 01/43095	A2	06/14/2001	Intermec IP Corp.		
		EP	1 113 387	A2	07/04/2001	Schlumberger Systemes		
		PCT	WO 01/72224	A1	10/04/2001	Wolf Fernlund		
		JP	2001-283122	A	10/12/2001	Dainippon Printing Co., Ltd.		
		PCT	WO 01/77856	A1	10/18/2001	Brightstreet.com, Inc.		
		PCT	WO 01/80473	A2	10/25/2001	Sony Electronics, Inc.		
		PCT	WO 01/86535	A1	11/15/2001	Cadmus Technology Ltd.		
		PCT	WO 01/90962	A1	11/29/2001	Grosvenor Leisure Inc.		
		PCT	WO 01/95243	A2	12/13/2001	Battelle Memorial Institute		

Examiner Signature		Date Considered	
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	10/711,773
				Filing Date	October 4, 2004
				First Named Inventor	David S. Bonalle
				Art Unit	TBA
				Examiner Name	TBA
Sheet	4	of	9	Attorney Docket Number	
70655.0700					

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		PCT	WO 02/01485	A1	01/03/2002	Smartclick Corporation		
		PCT	WO 02/13134	A2	02/14/2002	Medmicrochip LC		
		PCT	WO 02/21903	A1	03/21/2002	Mario Witte		
		EP	1 199 684	A2	04/24/2002	Marconi Commerce Systems		
		PCT	WO 02/063545	A2	08/15/2002	Sensormatic Electronics Corp		
		PCT	WO 02/065246	A2	08/22/2002	Stockback Holdings, Inc.		
		PCT	WO 02/065404	A2	08/22/2002	Stuart Williams		
		PCT	WO 02/069221	A1	09/06/2002	Frequency Marketing, Inc.		
		PCT	WO 02/073512	A1	09/19/2002	3M Innovative Properties Co.		
		EP	1 251 450	A1	10/23/2002	NCR International Inc.		

Examiner Signature		Date Considered	
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				Filing Date	October 4, 2004
				First Named Inventor	David S. Bonalle
				Art Unit	TBA
				Examiner Name	TBA
Sheet	5	of	9	Attorney Docket Number	70655.0700

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/711,773
<i>(use as many sheets as necessary)</i>				Filing Date	October 4, 2004
Sheet	6	of	9	First Named Inventor	David S. Bonalle
				Art Unit	TBA
				Examiner Name	TBA
				Attorney Docket Number	70655.0700

<b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b>		
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		"What's New: Timex Watch Features Speedpass System", <a href="http://www.speedpass.com/news/article.jsp?id=51">http://www.speedpass.com/news/article.jsp?id=51</a> (1 page)
		"Physical Reality: A Second Look", Ken Sharp, Senior Technical Editor, <a href="http://www.idsystems.com/reader/1999_03/phys0399_pt2/phys0399_pt2.htm">http://www.idsystems.com/reader/1999_03/phys0399_pt2/phys0399_pt2.htm</a> (6 pages)
		"Magic Wands' to Speed Mobile Sales", BobBrewin, Jan. 15, 2001, <a href="http://www.computerworld.com/mobiletopics/mobile/story/1,10801,563300.html">http://www.computerworld.com/mobiletopics/mobile/story/1,10801,563300.html</a> (4 pages)
		"Mobile Speedpass Goes Global as Mobil Singapore Rolls Out Asia's First RFID-Based Pay-At-The-Pump System", Press Release, April 5, 1999, <a href="http://www.ti.com/tiris/docs/news_releases/rel12.htm">http://www.ti.com/tiris/docs/news_releases/rel12.htm</a> (3 pages)
		"Speedpass Unleashed", June 4, 2002 <a href="http://www.cardweb.com/cardtrak/news/cf2_20a_97.html">http://www.cardweb.com/cardtrak/news/cf2_20a_97.html</a> (2 pages)
		Prophecy Central Update #9, October 10, 1997, <a href="http://www.bible-prophecy.com/pcu9.htm">http://www.bible-prophecy.com/pcu9.htm</a> (5 pages)
		International Newsletter of the TI RFID Group, Issue 20, 2000 (12 pages)
		"CES: Microsoft's SPOT Technology has Humble Origins", by James Niccolai, January 10, 2003, <a href="http://archive.inforworld.com/articles/hn/xml/03/01/10/030110hspot.xml?s=IDGNS">http://archive.inforworld.com/articles/hn/xml/03/01/10/030110hspot.xml?s=IDGNS</a> (3 pages)
		"Microsoft: See SPOT Run On Your Wrist", by Richard Shim, June 5, 2003, <a href="http://news.com.com/2100-1041_3-1013442.html?tag=fd_top">http://news.com.com/2100-1041_3-1013442.html?tag=fd_top</a> (1 page)
		"Networking: Microsoft SPOT", by Jeremy A. Kaplan, July 1, 2003, <a href="http://www.pcmag.com/print_article/0,3048,a=43561,00.asp">http://www.pcmag.com/print_article/0,3048,a=43561,00.asp</a> (2 pages)
		"Microsoft Launches Smart Personal Object Technology Initiative", Press Release from COMDEX Fall 2002, November 17, 2002, <a href="http://www.Microsoft.com/presspass/features/2002/nov02/11-17SPOT.asp">http://www.Microsoft.com/presspass/features/2002/nov02/11-17SPOT.asp</a> (4 pages)

Examiner Signature	Date Considered
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Substitute for form 1449B/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/711,773
(use as many sheets as necessary)				Filing Date	October 4, 2004
Sheet	7	of	9	First Named Inventor	David S. Bonalle
				Art Unit	TBA
				Examiner Name	TBA
				Attorney Docket Number	70655.0700

<b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"Bank Extends RFID Payment Pilot: Bank of America will continue to test its QuickWave RFID payment card for another three months", RFID Journal, 01/23/2003	
		"MasterCard to Test RFID Card: Pilot will test whether consumers, merchants and credit card issuers value "contactless" payments", RFID Journal, 12/20/2002	
		"Vendors Target Amusement Parks: Protecting children and enabling cashless payments make RFID an appealing option for the entertainment industry", RFID Journal, 11/27/2002	
		"Inside's Next-Gen Smart Card: The French company plans to introduce an RFID card that uses a 16-bit microprocessor and new encryption technology", RFID Journal, 10/29/2002	
		"Sony, Philips Creating RFID Link: Consumer electronics giants are jointly developing a new RFID standard for payments and for communication between devices", RFID Journal, 09/17/2002	
		"Japan Gets Digital Ticket System: A national ticket seller and phone company are teaming up to create an electronic ticket", RFID Journal, 08/31/2002	
		"Security for Wireless Java: NTRU, a startup that offers security software, has released of Java version of its NTRU encryption algorithm", RFID Journal, 06/27/2002	
		"Making RFID Payments Ubiquitous: Philips and Visa want people to be able to pay for goods and services anywhere by using RFID chips embedded in the phones and other devices", RFID Journal, 06/02/2003	
		"RFID Smart Cards Gain Ground: The convenience of contactless transactions is driving widespread adoption of contactless smart cards", RFID Journal, 04/09/2003	
		"TI Embraces Prox Card Standard: Texas Instruments ISO 14443 payment platform promises faster data transfer rates and more security", RFID Journal, 03/06/2003	
		"Multiple Frequency Transponders: Volume production of dual-band RFID chips begins", Frontline Solutions, 07/16/2003	

Examiner Signature	Date Considered
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/711,773
(use as many sheets as necessary)				Filing Date	October 4, 2004
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				Art Unit	TBA
				Examiner Name	TBA
				Attorney Docket Number	70655.0700

<b>OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS</b>		
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		T <sup>2</sup> Functional Specification, Standard Card IC MF1 IC S50, Philips Semiconductors, Product Specification Rev. 5.1 May 2001
		http://www.semiconductors.philips.com/news/content/file_878.html, April 7, 2003
		http://www.palowireless.com/infotooth/whatis.asp, April 28, 2003
		http://www.palowireless.com/infotooth/tutorial.asp, April 28, 2003
		http://www.palowireless.com/infotooth/tutorial/profiles.asp, April 28, 2003
		http://www.palowireless.com/infotooth/tutorial/radio.asp, April 28, 2003
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		http://www.palowireless.com/infotooth/tutorial/hci.asp, April 28, 2003
		http://www.palowireless.com/infotooth/tutorial/12cap.asp, April 28, 2003
		http://www.palowireless.com/infotooth/tutorial/rfcomm.asp, April 28, 2003

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Sheet	9	of	9	Application Number	10/711,773
				Filing Date	October 4, 2004
				First Named Inventor	David S. Bonalle
				Art Unit	TBA
				Examiner Name	TBA
				Attorney Docket Number	60655.5800

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Signature \_\_\_\_\_ Date Considered \_\_\_\_\_

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